

Complete if Known

RECEIVED

Application Number	09/811,842
Filing Date	March 19, 2001
First Named Inventor	LINK, Charles J. Jr.
Group Art Unit	1645 1036
Examiner Name	1645
Attorney Docket Number	P04465US1

JUN 15 2001

TECH CENTER 600 2900

Sheet	1	of	2
-------	---	----	---

[illegible][illegible]

Sept 24, 2000

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if

Paper # 4 Attach.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number 09/811,842
 Filing Date March 19, 2001
 First Named Inventor Link, Charles J., Jr., et al.
 Group Art Unit 1645 1636
 Examiner Name LLS
 Attorney Docket Number P04465US1

RECEIVED

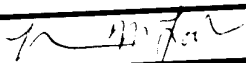
JUN 15 2001

TECH CENTER 600 290

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published	T
Link	1	BEJARANO, LUIS A., ET AL., "Motif Trap: A Rapid Method to Clone Motifs That Can Target Proteins to Defined Subcellular Localisations", Journal of Cell Science 112, 1999, 4207-4211, The Company of Biologists Limited 1999, Great Britain	
Link	2	BRONCHAIN, ODILE J., ET AL., "A Gene Trap Approach In Xenopus", Brief Communication, Current Biology 1999, 1195-1198, Elsevier Science Ltd., Great Britain	
Link	3	DUNN, ANNE K., ET AL., "A Vector for Promoter Trapping in <i>Bacillus cereus</i> ", Gene 226, 1999, 297-305, Elsevier Science B.V., Great Britain	
Link	4	GYGI, STEVEN P., ET AL., "Correlation Between Protein and mRNA Abundance in Yeast", Molecular and Cellular Biology, Vol. 19, No. 3, 1999, 1720-1730, USA	
Link	5	HARDOUIN, NATHALIE, ET AL., "Gene-Trap-Based Target Site for Cre-Mediated Transgenic Insertion", Genesis 26, 2000, 245-252, Wiley-Liss, Inc., Canada	
Link	6	ISHIDA, YASUMASA, ET AL., "RET: A Poly A-Trap Retrovirus Vector for Reversible Disruption and Expression Monitoring of Genes in Living Cells", Nucleic Acids Research, 1999, 1-11, Oxford Journals Online, Great Britain	
Link	7	MULLEN, CRAIG A., "Metabolic Suicide Genes in Gene Therapy", Pharmaceutical Therapy, Vol. 63, 1994, 199-207, Elsevier Science Ltd., Great Britain	
Link	8	TIMMONS, LISA ET AL., "Green Fluorescent Protein/ β -galactosidase Double Reporters for Visualizing <i>Drosophila</i> Gene Expression Patterns", Developmental Genetics 20, 1997, 338-347, Wiley-Liss, Inc., Canada	
Link	9	YEH, EDWARD, ET AL., "Green Fluorescent Protein As a Vital Marker and Reporter of Gene Expression in <i>Drosophila</i> ", Proc. Natl. Acad. Sci., Vol. 92, 1995, 7036-7040, Canada	
Link	10	ZHENG, XIANGQUN H., ET AL., "An Avian Sarcoma/Leukosis Virus-Based Gene Trap Vector for Mammalian Cells", Journal of Virology, Vol 73, 1999, 6946-6952, USA	

Examiner Signature



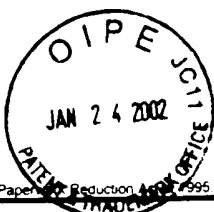
Date

Considered

Sept 20 2001

*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* Unique citation designation number. * Applicant is to place a check mark here if English language Translation is attached.



#7

COPY OF PAPER
ORIGINALLY FILED

Form PTO/SA088 (10-01)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/811,842
		Filing Date	March 19, 2001
		First Named Inventor	Link, Charles J.
		Art Unit	1636
		Examiner Name	LoEB
		Attorney Docket Number	P04465US1
Sheet	1 of 2		

TECH CENTER 1601/2300

FEB 04 2002

RECEIVED

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Link		US5 994 077	11/30/1999	Cornack, Brendan P. et al	Vald.v. et al
Link		US5 364 783	11/15/1994	Ruley, Earl H. et al	
Link		US5 922 601	07/13/1999	Baetscher, Manfred et al	
Link		US5 434 065	07/18/1999	Mahan, Michael J. et al	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Cite No.	Foreign Patent Document Country Code ² - Number ² - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Link		WO 99/50426 A1	10/07/1999	Lexicon Genetics, Inc.	
Link		WO 98/14614 A1	4/09/1998	Lexicon Genetics, Inc.	
Link		WO 98/53319 A2	11/26/1998	Kinzler, Kenneth W. Vogelstein Bert (US) Univ Johns Hopkins (US)	
Link		EP 0 761 822 A2	03/12/1997	Univ Johns Hopkins Med	

Examiner Signature	Date Considered
<i>[Signature]</i>	2/19/2002

*EXAMINER Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
* Applicant's unique citation designation number (optional). See Kinds of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
* Enter Office that issued the document by the two-letter code (WIPO Standard ST 3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16, if possible.
* Applicant is to place a check mark here if English language Translation is attached.
* Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Part of Paper #7

the network Reduction of 1

Substitute for form 1449B/PTO

(Use as many sheets as necessary)

Sheet	2	of	2
-------	---	----	---

Application Number	09/811,842
Filing Date	March 19, 2001
First Named Inventor	Link, Charles J.
Group Art Unit	1636
Examiner Name	LEB
Attorney Docket Number	P04465US1

[illegible]

Examiner
Signature

Date	
Considered	

* EXAMINER Initial if reference considered whether or not citation is in conformance with MPEP 609 conformance and not considered Include copy of this form with next communication to applicant Draw line through citation if not in

Applicant's unique citation designation number (optional) ☐ Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.**